Allocare Data AG

YOUR WEALTH MANAGEMENT SERVICE-PROVIDER FOR DATA, REPORTING AND SOFTWARE

What we offer.

Our modular services for asset managers, family offices and asset managers are divided into three areas, which we differentiate between Standard, Advanced and Individual depending on the scope of service (as shown on the overview graphic).

DATA MANAGEMENT
REPORTING
SOFTWARE AS A SERVICE (SAAS)

We work together with various market data providers and use the price sources you require. We also load extended master data such as customer risk profiles, ratings or benchmarks (including composite benchmarks) into the system as required. If you need to connect your system to other software products, such as a CRM or archive system, we will be happy to take care of this.
The overview graphic below shows you the various service packages and allows you to quickly decide what you need and where we can support you.

DATA MANAGEMENT

If you are running your own portfolio management and reportingsystem and often have problems that data relevant to servicing of your customers is missing or not correct and timely in the system - then talk to us! We offer professional data management regardless of which system or which domestic or global custodian banks you use.
When it comes to data processing, we rely on automated interfaces, scan interfaces and also enter position and transaction data manually on an end of day basis. We reconcile the booked information with bank receipts and bank statements at the frequency you require and export them to the system you use. You can access the correct reconciled data in your system at any time. We can also offer the master and price data of your customers' investments.

REPORTING

We would be pleased to produce your client reporting. We offer a large choice of reports such as:

- Monthly standard customer reporting with performance
- Asset listing by asset category with your logo, including the integration of your market comments
- Extended reporting, e.g. consolidation of various custodian banks, addition of non-bankable assets such as real estate, private equity, crypto assets or works of art
- Individual reports developed exactly according to your needs
- Compliance and risk reports flagging any limit violations
- Regulatory reporting e.g. for FIDLEG, MIFID, AML, BVV2
- Overview reports and dashboards consolidated across your customer base.

	Standard 01	Advanced 02	Individual 03
DATA MANAGEMENT Obtaining Data Data processing Data reconciliation	- Obtaining and processing transactions from custodian banks on a daily basis (electronic processing) - Daily loading of instrument master data and prices from SIX - Providing a reconciliation report	- Manual, daily entry of transactions based on available receipts - Support in the reconciliation process - Loading prices from custodian banks - Various portfolio valuations - Loading advanced master data: ratings, benchmarks, classifications	- Recording and processing of special instruments and their transactions (private equity, real estate, etc.) - Loading customer-specific data - Connection to external systems (e.g. document management) - Loading your own ratings
REPORTING	- Monthly standard customer reporting with your logo and contact details	- Extended reporting (e.g. consolidations) - Colors and fonts in your corporate identity style - Integration of your market-comments	- Individualized reports tailored to customer needs - Report customisations - Integration of external content, e.g. analysis of individual assets
SOFTWARE AS A SERVICE (SAAS) CRM Portfolio Management Compliance	- Online access (read only) - Standard dashboard - Software Support - Data storage on multi-tenancy platform database - Performance measurement (TWR, MWR, IRR) - Collection of basic customer data - Setting up investment limits for each investment strategy - Post Trade Checks on Investment Restriction	- Online access (read only) - Additional dashboards - Data storage on multi-tenancy platform database - Notes, Checklists for FIDLEG/MIFID - Transaction Monitoring - Risk profile comparison by portfolio - Customer-specific investment limits control - Order entry with pre-trade investment constraints checking - Additional Analysis Methods	- Data storage on our own server (hosted or in our own data center) - Individual dashboards - Mapping of a complete order workflow - Electronic order export (to brokers, custodian banks) - Breach workflow (Tracking of breaches) - Special modules: Private Equity, Real Estate, VaR, Stress Tests / Scenario Analysis - Rule sets for fund law checks for various fund domiciles

ALLOCARE CLOUD

SOFTWARE AS A SERVICE (SAAS) – Allocare CLOUD

You require a (new) system for reporting and wealth management, but do not want to operate it “in-house”? Use the multi-tenancy capable Allocare CLOUD* on our Swiss platform!
With Allocare CLOUD, we offer you a state-of-the-art and tested modern portfolio management and reporting system, which we operate on our platform. Using Allocare CLOUD together with our data management services ensures that all the data you need from the various custodian banks including non-bankable assets is available accurately and on a daily basis. With Allocare CLOUD run on our platform, your front and back office team can use functionalities that individual employees need in their respective roles.

The service spectrum is broad:

- Online access (read only or read & write)
- CRM functionalities such as checklists and notes from customer meetings based on FIDLEG/MIFID rules
- Mapping of your order workflow with order entry, pre-trade checks and order forwarding
- Performance measurement using various calculation methods, even for private equity investments
- Various compliance and risk management as well as anti-money laundering checks (e.g. pre- and post-trade)
- Investment restriction checks, transaction monitoring, suitability and appropriateness of investments according to the individual risk profile of your customers etc. with a corresponding follow-up workflow
- Checking fund laws for various fund domiciles and individual fund rules

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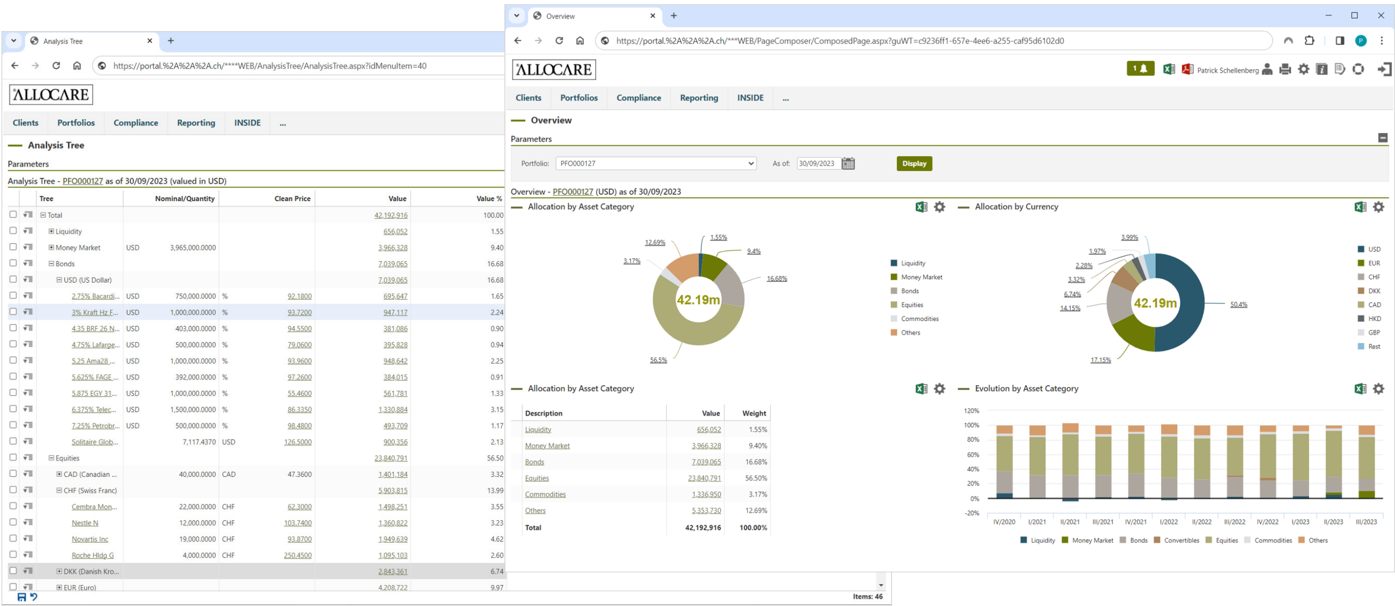
- Tailormade customer reporting, also consolidated, in the corporate identity layout of your company
- Dashboards, KPIs and management cockpits
- Stress tests as well as scenario and VaR analyses

You have secure system access from anywhere at any time, controlled according to your individual authorisation concept. Specific access for your (selected) customers to Allocare CLOUD is also possible at any time.

ADDITIONAL-SERVICES

In addition to the services described, we also could provide the following solutions:

- Setting up of customer portfolios
- Definition of individualised templates for system queries, be it for customers or for compliance and risk checks, so that you can get the information you need as efficiently as possible
- Automated management fee calculation including invoicing
- Education, training and software support
- Data management and storage according to your requirements



About Allocare Data AG

Founded in 2016, Allocare Data AG (formerly numas SA) is a Swiss start-up in the fintech sector based in Zurich. The employees have many years of experience with banks and wealth managers in the areas of IT/data management, legal and HR. The company supports customers, especially family offices and wealth managers, in the procurement, processing and export of data and information of all kinds.
Moreover, the company offers individualised reporting and, with Allocare **CLOUD***, a state-of-the-art portfolio management system as a service Platform. The system and all processed data are always and exclusively located in Switzerland or Germany. Allocare Data AG has various asset managers and family offices among its customers who have been relying on the services offered for years. Since July 1, 2021, Allocare Data AG is fully owned by the Allocare Group (www.allocare.com).

Note: **= **Allocare CLOUD** is based on the **Allocare AMS** software products, which have been on the market for over 20 years, and the web-based add-on **Allocare WEB**. Allocare Data AG provides both solutions as a service (read only or read & write) on its platform. The services from Allocare Data AG also comprise the individualised parameterisation of solutions tailored to the needs of the respective customer.*